



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12Q 1/56, G01N 33/86		A1	(11) International Publication Number: WO 93/10261 (43) International Publication Date: 27 May 1993 (27.05.93)
(21) International Application Number: PCT/SE92/00310 (22) International Filing Date: 13 May 1992 (13.05.92) (30) Priority data: 9103332-4 13 November 1991 (13.11.91) SE 811,303 20 December 1991 (20.12.91) US (71)(72) Applicant and Inventor: DAHLBÄCK, Björn [SE/SE]; Plantskolevägen 10, S-216 21 Malmö (SE). (74) Agents: BERGANDER, Håkan et al.; Kabi Pharmacia AB, S-751 82 Uppsala (SE).		(81) Designated States: AU, CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LU, MC, NL, SE). Published <i>With international search report.</i>	
(54) Title: METHOD FOR THE DIAGNOSIS OF BLOOD COAGULATION DISORDERS			
(57) Abstract			
<p>The invention concerns an <i>in vitro</i> method that is particularly useful for the diagnosis of thromboembolic diseases, such as hereditary or non-hereditary thrombophilia, and to determine the risk for trombosis in connection with pregnancy, taking anti-conception pills, surgery etc. The method is characterized in that the coagulation system in a sample is activated wholly or partly in a manner known per se and incubated with activated Protein C, whereupon a substrate conversion reaction rate like clotting or conversion of a chromogenic substrate is determined. The conversion rate obtained is compared with values obtained for normal plasma samples. If the rate is enhanced, it indicates that the individual from which the sample is derived may suffer from a thromboembolic disease.</p>			
BEST AVAILABLE COPY			

FOR THE PURPOSES OF INFORMATION ONLY

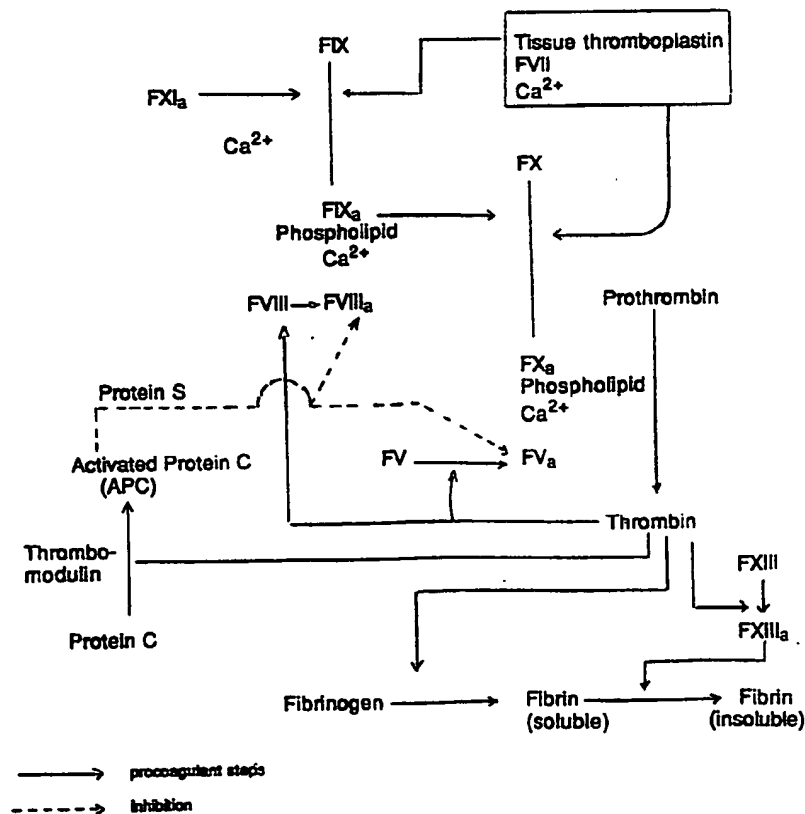
Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LI	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TC	Togo
DE	Germany	MC	Madagascar	UA	Ukraine
DK	Denmark	ML	Mali	US	United States of America
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland				

METHOD FOR THE DIAGNOSIS OF BLOOD COAGULATION DISORDERS.

The present invention concerns a novel method appropriate for screening and diagnosis of thromboembolic diseases, e.g. hereditary thrombophilia. The invention can also be used for determining the risk for thrombosis in pregnant individuals, individuals undergoing surgery, individuals taking anti-conception drugs etc.

The blood coagulation comprises a complex system of inter-linked proenzymes, enzymes and cofactors performing its role at the surfaces of activated platelets and endothelial cells. When the system is activated the ultimate result is the formation of a blood clot containing insoluble fibrin. The initiation and termination of fibrin formation is carefully regulated in normal homeostasis. In vitro, platelets and endothelial cell surfaces are usually substituted with suitable phospholipids. For the invention the relevant part of the coagulation system is:



The important part for the invention relates to Protein C (= PC) and effects produced by action of activated Protein C (= APC) on the coagulation system. Protein C is a zymogen that in vitro may be activated by thrombin (= Factor II_a) alone, the combination thrombin-thrombomodulin, certain snake venoms, such as from Akistrodon Contortrix Contortrix, or purified Factor X_a. Activation of sample endogenous Protein C or addition of exogenously activated Protein C to a plasma sample will neutralise Factors V_a and VIII_a (degradation) and lead to prolonged times for blood coagulation in plasma samples of healthy individuals. Factors V and VIII are activated by small amounts of thrombin or Factor X_a. Protein S is a cofactor to Protein C.

Hereditary heterozygous deficiencies in Protein C, Protein S and Antithrombin III (ATIII, a coagulation factor opposing coagulation) are found in approximately 10-15% of patients with diagnosed thromboembolic disease before the age of 40. Homozygous Protein C and S deficiencies are life threatening and affected individuals develop generalized microvascular thrombosis and purpura fulminance in the neonatal period.

Deficiencies in Protein C and S and ATIII are measured by both functional and immunological methods. One way of determining functional Protein C activity involves the steps: mixing the plasma sample to be tested with an excess of human plasma deficient in Protein C and addition of a Protein C activator and monitoring the appropriate substrate conversion. Highly specific assays have been achieved by using Protein C specific substrates. Alternatively one has also utilized substrates for enzymes, e.g. thrombin and Factor X_a, which activities are influenced by activated Protein C activity (i.e. enzyme activities that are generated or modulated by APC). In certain cases Protein C assays have involved isolation of the zymogen and a subsequent activation and addition of substrate to the activated form. Measurement of Protein C activity in plasma samples has also been suggested to be

performed directly in the sample without addition of plasma deficient in Protein C. However, such methods will not discriminate an abnormality related to Protein C as such from a disorder related to factors interfering with the effects caused by Protein C (WO-A-91/02812).

Addition of activated Protein C to a plasma sample of a patient and study of the effect produced has been claimed to discover a defect Factor VIII_a molecule that is not degraded by activated Protein C (B. Dahlbäck and M Carlsson, Thromb. Haemost. 65, Abstract 39, 658 (1991)). However, the data given in the present specification surprisingly indicate that the patient in question could not carry a defect Factor VIII/VIII_a.

In order to determine Protein S functional activity in a plasma sample the most common methods involve mixing the plasma sample with activated Protein C, an excess of Protein S deficient plasma and further reagents necessary to achieve clotting (Waart et al., Thromb. Res. 48, 427-37 (1987); Suzuki et al., Thromb. Res. 49, 241-51 (1988); Bertina et al., Thromb. Haemost. 53, 268-72 (1985); and Comp et al., J. Clin. Invest. 74, 2084-88 (1984). It has also been suggested to measure Protein S by incubating the plasma sample with Factor IX_a and activated Protein C and measurement of the clot time or the conversion of a chromogenic thrombin substrate (KabiVitrum AB (S. Rosén) WO-A-9101382).

The present inventor has realized that there are hitherto unrecognized thromboembolic disorders that can be diagnosed by the addition of activated Protein C to a patient sample containing coagulation Factors and measurement of an enzyme activity that is influenced by the APC added. The experimental results now presented indicate that the disorders in question are related to a hitherto unknown coagulation Factor(s) or unknown interactions of known Factors. The unknown Factor is not Factor V_a or VIII_a that are resistant to degradation by APC, or an inhibitor of the immunoglobulin-type for APC. The disorders are neither related to Protein S deficiency. For simplicity

reasons the unknown Factor(s)/interaction(s) will be referred to as one unknown Factor in this text.

The samples assayed are normally plasma samples, but may also be other types of samples containing the coagulation factors in question. The invention will be illustrated in relation to plasma samples.

Accordingly the invention is an in vitro method for the diagnosis of a blood coagulation disorder in a human individual or for the determination of the risk for a human individual to acquire said blood coagulation disorder, said disorder possibly not being expressed by (1) Protein S deficiency, and optionally also by (2) forms of either Factor V_a or Factor VIII_a that are resistant to degradation by APC, or by (3) an inhibitor of the immunoglobulin type for APC. The characteristic feature of the method comprises the steps that:

(i) a plasma sample obtained from the individual is incubated with

(a) an exogenous Reagent (I) activating at least partially the blood coagulation system of the sample,

and with

(b) activated exogenous Protein C (APC) or exogenous PC together with exogenous Reagents (II) that transform PC to APC, and

(c) further components, such as Ca²⁺ salt and phospholipid or tissue thromboplastin, that are necessary for efficient reaction of the activated factors introduced according to step (i:a), and

(d) if desired, an exogenous substrate for an enzyme which activity is influenced by activated Protein C;

(ii) a substrate conversion rate is monitored directly for a blood coagulation enzyme which activity is influenced by activated Protein C,

(iii) the conversion rate determined in step (ii) is compared with a standard value being obtained from steps (i)-(ii) under identical conditions for plasma of normal individuals.

5 In case the substrate conversion rate is not normal compared to the standard, the individual from which the sample derives is classified as suffering from the disorder or being at risk for acquiring the disorder. An increased conversion rate of the sample indicates a thromboembolic
10 disease or a risk for such a disease (with fibrinogen as the substrate an increased conversion rate means a shortened clotting time). The significance of a lowered conversion rate is at the present stage not known (with fibrinogen as the substrate a lowered conversion rate means
15 a prolonged clotting time). Probably it is not related to any disease.

The range of the normal conversion rate may be quite broad. Hence, it might, as a complement, be of value to run steps (i)-(ii) on a plasma sample from the individual with
20 exclusion of the incubation according to (i:b) and compare the result obtained with that obtained according to the invention.

The incubation according to (i:a) serves to introduce an activated coagulation factor that can be used for the
25 measurement in step (ii). The expression "partially" means that the addition of Reagent (I) leads to the presence of at least Factor IX_a. Reagent (I) may be a certain coagulation factor or a reagent that activates the system via the intrinsic or extrinsic pathway. Accordingly Reagent
30 (I) may be Factor IX_a or Factor XI_a (intrinsic pathway), Factor XII_a (intrinsic pathway), kallikrein (intrinsic pathway), a contact activator (intrinsic pathway) such as kaolin, celite or ellagic acid (intrinsic pathway), an APTT reagent (Activated Partial Thromboplastine Time; i.e. a
35 reagent containing a phospholipid and a contact activator (intrinsic pathway)), tissue thromboplastin (PT-reagent, PT = Prothrombin time, (extrinsic pathway)). In cases where a

poor specificity is acceptable Reagent (I) may also be Factor X_a .

Protein C (i:b) may be of various origin. In case the Protein C and the sample are of different species origin it is highly recommendable to include Protein S (cofactor to activated Protein C) in the incubation mixture. Protein C and Protein S should be of the same species origin, for instance bovine Protein C requires bovine Protein S. Protein C is preferably activated prior to being added, although activation may also be accomplished after it has been added to the sample. Activation shall take place under standardised and defined conditions. Normal activation agents are those given on page 2. Recombinantly produced biologically functional forms of Proteins C and S can also be used.

The components used according to step i:c depend on the mode employed and may necessitate the inclusion of plasma protease inhibitors for enzymes other than the monitored one or of a fibrin polymerization inhibitor. Ca^{2+} may be in the form of a plasma soluble salt that provides the Ca^{2+} ion in free uncomplexed form, i.e. strong Ca^{2+} chelators should be avoided. In the final assay medium the concentration of Ca^{2+} may be selected within 0.5-50 mM, preferably within 5-15 mM, such as 6-7 mM. Too high a concentration may inhibit the coagulation system.

The substrate according to (i:d) is normally a synthetic substrate for an enzyme which activity is influenced by activated Protein C, e.g. thrombin (= Factor II_a) and Factor X_a . Suitable synthetic substrates are water soluble and have preferably oligopeptide structure with three, four or five amino acid residues and an amino terminal that is protected from being attacked by amino peptidases. The protection is accomplished either by a protecting group or by having a D-amino acid in the amino terminal. In order to give a detectable response the carboxy terminal of a synthetic substrate is amidated with a group that specifically can be released and

detected upon action of the relevant blood coagulation protease. The group to be released is selected among chromogenic, fluorogenic or chemiluminogenic groups and other analytically detectable groups. See further

5 H.C. Hemker, "Handbook of synthetic substrates for the coagulation and fibrinolytic system", Martinus Nijhoff Publishers, 1983, and J. Fareed et al, "Synthetic peptide substrates in hemostatic testing" in CRC

10 Critical Reviews in Clinical Laboratory Sciences Vol 19, Issue 2, 71-134(1983). In case of samples other than plasma samples exogenous fibrinogen may be added as substrate.

The order of addition and the incubation vary with the mode of the invention. For instance in case Reagent (I) is

15 an APTT reagent (i:a) and the substrate conversion to be monitored is fibrinogen to fibrin, reagent (I) is added to the sample and allowed to maximally activate Factor XI to Factor XI_a. Then Ca²⁺ (i:c) is added and the time for clotting measured. Activated Protein C according to step

20 (i:b) is introduced either simultaneously with, prior to or after the activation to Factor XI_a. A PT-assay is performed similarly with addition of tissue thromboplastin (instead of the APTT reagent) to the sample in an amount sufficient for activation of Factor X to Factor X_a or Factor IX to

25 Factor IX_a. Thereafter activated Protein C (i:b) is added and finally the clotting time is measured as in any APTT assay. In case a synthetic substrate is used it can be added at any stage before or at the start of the monitoring reaction. In order to run the monitoring reaction with high

30 specificity, the above-mentioned inhibitors may be introduced at any suitable stage into the reaction medium. For instance it may be appropriate to add a thrombin inhibitor together with a substrate for Factor X_a, when Factor X_a activity is measured. The same inhibitor added

35 prior to addition of the substrate may, however, adversely affect the formation of Factor X_a.

In order to accomplish a specific result with respect to the above-mentioned unknown Factor one should try to keep the patient plasma sample content of the final assay medium as high as possible. Accordingly patient plasma sample content in tests having good specificity should be >10 %, in particular >20% or >35% (v/v).

It may be practical to sell and use reagents according to (i:a-d) in predispensed combinations that may have been lyophilized separately or as mixtures containing at least two of the components given in (i:a-d), preferably in the doses used for testing. It may also be practical to have performed the lyophilization in the vial to be used in the assay. Suitable combinations are (concentration ranges refer to values during the assay, preferred ranges are given within brackets):

A. APTT based clot methods and APTT depending clot methods for factors V and VIII.

1.	Human APC	10ng/mL-50/ug/mL (25ng/mL-10/ug/mL)
2.	APC species (non-human)	100ng/mL-50/ug/mL (10ng/mL-50/ug/mL)
3.	Bovine APC/Bovine Protein S, from other non-human species.	APC: 5ng/mL-5/ug/mL Protein S: 100ng/mL-20/ug/mL (10ng/mL-20/ug/mL)

All reagents given in 1-3 above and intended to be used in the invention may be lyophilized in the absence or presence of Ca^{2+} . If present, the amount of Ca^{2+} should give a Ca^{2+} concentration of 0.5-30mmol/L in the final assay medium. Phospholipid may be included in the lyophilized preparations.

B. APTT modified clot methods in which contact factor activation has been excluded.

Factor IX _a	0.05 ng/mL-2/ug/mL
Factor XI _a	0.05 ng/mL-2/ug/mL
Factor XII _a	0.05 ng/mL-2/ug/mL
Kallikrein	0.05 ng/mL-2/ug/mL

No limitation regarding species. FIX_a may also be used together with any of the combinations A 1-3 including the presence or absence of Ca^{2+} and phospholipid.

C. APTT chromogenic methods.

5 Combinations according to A 1-3 with inclusion of fibrin polymerization inhibitor (concentration $\geq K_I$, K_I = inhibition constant) and chromogenic substrate (concentration $\geq 0.1K_m$, K_m = Michaelis-Mentens constant). Alternatively the chromogenic substrate is
10 lyophilized separately or in the presence of Ca^{2+} that in turn optionally is combined with a fibrin polymerization inhibitor. In a minor alternative the substrate is lyophilized together with a fibrin polymerization inhibitor but in the absence of Ca^{2+} .
15 The constituents shall provide conditions such that no disturbing substrate hydrolysis takes place during reconstitution.

D. APTT modified chromogenic methods in which contact factor activation has been excluded.

20 Combinations of reagents as given under B and C.

E. PT clot method utilizing tissue thromboplastin.

Reagents according to A 1-3 optionally combined with Ca^{2+} and/or tissue thromboplastin.

F. Modified clot method for screening of Factor V defect.

25

Factor X_a 0.02ng/mL-0.5/ug/mL

Factor X_a is not limited to species. The reagent may contain combinations according to A 1-3 optionally together with Ca^{2+} and/or phospholipid.

30 G. PT chromogenic method.

Combinations according to C and E above but with thromboplastin instead of phospholipid.

H. Chromogenic Factor VIII method.

35 Reagents according to A 1-3 employed in a standard chromogenic Factor VIII assay. The reagents may have been lyophilized together with either of Factor IX_a +/- Ca^{2+} +/- phospholipid or Factor X +/- Ca^{2+} +/- phospholipid, with Factor X concentration of

0.1/ug/mL-50/ug/mL. The reagent may also comprise inclusion of small amounts of thrombin and, when Factor X is included, also Factor IX_a. Furthermore, a chromogenic substrate for Factor X_a may be included in the reagent.

I. Chromogenic Factor V method.

Reagents according to C and F with or without inclusion of prothrombin (0.02ng/mL-50/ug/mL) APTT reagents may be included in combinations A-D, provided they are not co-lyophilized with Ca²⁺. Active enzymes and their substrates may be co-lyophilized as recently described (EP-A-318,571).

The invention is primarily intended as a screening method in order to find individuals that need further diagnostication, but comprises also specific factor assays according to F, H and I above. The proper selection of reagent (I) and substrate to be monitored (i:d) refine the possibility of finding where in the coagulation system a diagnosed disorder is located. In principle the inventive method will detect disorders related to defective interactions between activated Protein C and Factor V_a, Factor VIII_a. It will also detect the presence of inhibitors of activated Protein C, and abnormalities in hitherto unrecognized interactions and factors influenced by Protein C activation or activated Protein C activity.

The invention will now be illustrated by way of the inventors discovery of a patient suffering from a novel disorder in the blood coagulation system. The appending claims are an integral part of the description.

EXPERIMENTAL PARTCase Report:

The proband is a male born in 1942. In 1961, he had the first episode of deep venous thrombosis in one of the legs. After this, he was healthy and free of thrombosis for almost 20 years. Between 1980 and 1987 he had multiple thrombotic episodes, occurring at least once a year. The thrombotic events were treated with vitamin K antagonists for up to three months. A thrombus was positively verified with flebography at least at two occasions. The proband has developed a post-thrombotic syndrome in his legs. He has no other disorders. In 1987, he quit smoking and at the same time he started taking aspirin daily. During 1987-1991 he has not experienced any thrombo-embolic episode. Both male and female members of the patient's family have similar histories with multiple episodes of deep venous thrombosis. His 10 year older brother have had deep venous thrombosis at multiple occasions, most of them occurring between the age of 45 and 50. The proband also reports that an uncle on his mother's side has had a medical record with multiple episodes of thrombosis. The patient's mother was born in 1905, and she has had episodes when deep venous thrombosis has been suspected clinically. Two more brothers and a sister have had incidences where thrombosis has been suspected.

Known coagulation and immunological methods used as a complement to the inventive method for the diagnosis.

The activated partial thromboplastin time (APTT, Organon Technica), Owren's P&P, thrombin time and reptilase time were determined with standard methods. Antithrombin III was measured with an amidolytic assay (Coatest ATIII, Kabi Diagnostica, Molndal, Sweden). Total and free Protein S and Protein C antigen levels were determined with previously described immunochemical methods (Malm J. et al., Br. J. Haemat. 68, 437-443 (1988)). Protein C function activity was analysed with a synthetic substrate after activation with the venom from Agkistrodon Contortrix Contortrix using a

commercially available kit (Coatest Protein C, Kabi Diagnostica AB, Molndal, Sweden).

Absorption of IgA, IgG and IgM was performed as previously described (Dahlbäck B. et al., Blood 62, 218-225 (1983)).

A second functional Protein C assay was also performed as previously described (Hickton C.M., Thromb. Res. 41 501-8, (1986)). The method included barium-citrate absorption of plasma. The proteins that bound to the barium-citrate were eluted and the eluate was incubated with a thrombin-thrombomodulin complex to activate Protein C. The amount of APC after activation was quantified using an APTT clotting assay.

15 Inventive methods

1. An APTT based method was used to determine the anticoagulant effect of purified APC in patient plasma. In this method (APC-APTT assay) the APC mediated prolongation of the APT-time was measured as follows: 0.1 ml plasma was incubated with 0.1 ml APTT reagent for 5 minutes at 37°C before addition of 0.1 ml of an APC-Ca²⁺ mixture (0-20 µg/ml APC in 10 mM Tris-HCl, 0.15 M NaCl, 30 mM calcium chloride pH 7.5 containing 0.1 % bovine serum albumin (BSA)) which initiated blood coagulation. The APC was prepared as previously described (Dahlbäck B. et al., J. Biol. Chem. 261, 12022-12027 (1986)). The assay was run with bovine APC with or without the presence of bovine Protein S.

Normally APTT assays are run without addition of NaCl. Accordingly, but also because NaCl prolongs the coagulation times, it is preferred to run this mode of the invention without addition of NaCl.

2. In order to determine the effect of APC on plasma Factor V, increasing concentrations of APC (10 µl diluted in 10 mM Tris-HCl, 0.15 M NaCl, pH 7.5 containing 0.1 % BSA) were added to 0.09 ml plasma. Immediately after the APC-addition, 0.1 ml rabbit

- brain cephalin (diluted in 0.15 M NaCl) and 0.1 ml 30 mM CaCl_2 were added. After incubation for 15 seconds at 37°C, clotting was initiated with 0.1 ml Factor X_a (150 ng/ml diluted in 10 mM Tris-HCl, 0.15 M NaCl, pH 7.5 containing 0.1 % BSA). In the absence of APC, this Factor X_a concentration gave an approximate clotting time of 30 seconds in the control plasma used. Factor X_a was prepared as described previously (Dahlbäck B. et al., J. Biol. Chem. 261, 12022-12027 (1986)).
- 10 3. A modification of a commercial Factor VIII assay (Coatest Factor VIII, Kabi Diagnostica AB, Mölndal, Sweden) was used to analyse the effect of APC on plasma Factor VIII. Patient or control plasma (25 μl 1/125 to 1/400 in 10 mM Tris-HCl, 0.15 M NaCl, pH 7.5 containing 0.1 % BSA) was incubated with 75 μl of the kit reagent containing Factor IX_a , Factor X, phospholipid and Ca^{2+} . Just prior to the test, increasing concentrations of APC (0.1-100 $\mu\text{g}/\text{ml}$) were added to this reagent. After 20 minutes incubation at 37°C, 50 μl of a mixture of the synthetic substrate (2.7 nM) (Bz-Ile-Glu(γ -OR)-Gly-Arg-pNA = S-2222, Kabi Diagnostica, Mölndal, Sweden) and the thrombin inhibitor (60 μM) (N-dansyl-(p-guanidino)-Phe-piperidide = I-2581, Kabi Diagnostica AB, Mölndal, Sweden) was added. After incubation for 10 minutes more at 37°C, the reaction was interrupted by the addition of 150 μl (1 M) citric acid and the absorbance was measured at 405 nm. A standard curve for Factor VIII was made using normal plasma diluted from 1/100 to 1/800.

Results of the methods used in the cause of the investigation.

- 35 The APT-time together with values for ATIII and Protein S were normal and the patient had no indications of the presence of lupus anticoagulants. The plasma level of Protein C was normal, both when being measured with the immunological method and with the functional assay, which

included Protein C activation with snake venom and quantitation of APC with a synthetic substrate.

The barium-citrate functional Protein C assay gave consistently lower Protein C values for the eluate when diluted 1:10 compared to 1:40. This might indicate the presence of an inhibitor for APC. In order to check this, we used the inventive APC-APTT assay. The clotting time obtained was always shorter than for the control plasma. In order to rule out an inhibitor of the immunoglobulin type, the patient's plasma was depleted completely in IgA, IgG or IgM by absorption. The shortened clotting time did not disappear. The results found could be due to a functional Protein S deficiency. However, this possibility was ruled out since bovine APC, when added with or without bovine Protein S is considerably less efficient in prolonging the APT-time of the proband's plasma compared to prolongation in the control plasma.

A third possible mechanism for the observed APC-resistance was that the proband's Factor V_a or Factor $VIII_a$ could be resistant to cleavage by APC. To elucidate this possibility, assays were devised which directly measured the inhibition of plasma factors V_a and $VIII_a$ by APC. Using the Factor X_a based clotting assay (described above), the inhibition of patient Factor V_a by APC was found to be normal suggesting that Factor V_a in the patient's plasma was degraded in a normal fashion by exogenously added APC. This experiment ruled out the possibility of a Protein C inhibitory antibody explaining the APC-resistance. To test the remaining possibility, i.e. that APC could not degrade the proband's Factor $VIII_a$, the effect of added APC in a Factor $VIII_a$ assay was tested. However, the proband's Factor $VIII_a$ was found to be normally degraded by the added APC when compared to control plasma. This finding is contrary to the inventor's earlier publication (B. Dahlbäck and M Carlsson, Thromb. Haemost. 65, Abstract 39, 658 (1991)).

To investigate whether the APC-effect was inherited, 18 family members were analyzed using the APC-APTT assay. 10

(both male and female) of the 18 tested family members did not respond to APC with normal prolongation of their clotting times, which suggests that the factor molecule responsible for the effect is resistant to APC. This result shows that the defect molecule was inherited and present in the family members that did not give normal prolongation of their clotting times. It is noteworthy that in the absence of added APC, the APT-times of these individuals and of the proband were shorter than for the controls. This may suggest partial degradation of relevant Factor molecules during APTT assays of normal plasma. To test the sensitivity of the APC-APTT assay for the presence of APC-resistance, mixtures of proband and normal plasma (1:1, 1:10 and 1:100) were analyzed. When added to the 1:1 mixture, APC was equally inefficient in prolonging the clotting time as when added to the proband's plasma. Half of the normal prolongation was observed when testing the 1:10 mixture, whereas the 1:100 mixture behaved like control plasma. Thus the APC-APTT assay did not discriminate between the presence of 50 % and 100 % APC resistant factor molecules suggesting the method to be a useful screening method for the identification of carrier states.

Since the measured lack of substantial prolongation of the clotting time is not related to Factors VIII_a and V_a or a Protein C inhibitor of the immunoglobulin type or a defective Protein S - APC interaction the effect is likely to be associated with a hitherto unrecognized coagulation factor.

The APC-APTT assay according to the invention has also been run on plasma samples from about 100 patients with diagnosed thrombosis. About 10% of the patients gave shortening of their clotting times compared to the standard. No apparent hereditary could be seen. None of the patients had been found positive in other assays for the determination of coagulation disorders.

An APC-APTT method similar to method 1 under the heading Inventive Methods 1 given above has been run on plasma

samples from Protein S deficient patients. In this specific mode the APC amount was adjusted so that pooled normal plasmas resulted in a prolongation of the coagulation time of 40 seconds. The new type of patients we have detected
5 then gave a prolongation of coagulation time of 0-15 seconds while plasma from patients with diagnosed Protein S deficiency gave a prolongation time that was close to normal. In order to check further the influence of Protein S deficiency we also assayed normal plasma that had been
10 made deficient in Protein S by immune adsorption. The prolongation time decreased with about 50% which indicates that the prolongation measured for our new patient group is not caused by Protein S deficiency. We have also added
15 Protein S to plasma from the new patient group and run the inventive method on such plasma. The result has been that the prolongation of the coagulation time is not normalised which further supports that the inventive method does not measure Protein S.

By varying the plasma content of the assay medium it was
20 experimentally verified that one should avoid too low plasma concentrations in the final assay medium.

Restriction digestion of DNA, PCR and hybridization for assaying a Factor VIII gene X-linked inheritance of the
25 disorder.

DNA from three relatives (the proband, his mother and one of his brothers) that were suspected of carrying a gene for the disorder was subjected to PCR with amplification of
30 the Factor VIII gene and subsequent cleavage with Bcl 1 as described previously (Kogan et al., N. Engl. J. Med. 317, 985-90 (1987)).

In the human population this treatment leads to two different fragments (142 kb and 91 kb, respectively). The DNA of an individual will carry genes giving either both fragments or only one fragments. The mother's DNA gave both
5 142 kb and 91 kb fragments, while one of her sons gave only the 142 kb fragment and the other only the 91 kb fragment. This is a clear indication that the two sons have received different Factor VIII genes from their mother. The disorder traced could thus not be linked to a gene on an X-
10 chromosome.

PATENT CLAIMS

1. An in vitro method for the diagnosis of blood coagulation disorders, in particular thromboembolic diseases, in a human or for the determination of the risk for a human to acquire blood coagulation disorders, wherein said disorders are not expressed by Protein S deficiency or defective Factor VIII_a, comprising
- (i) incubating a sample containing human coagulation factors with
 - (a) an exogenous Reagent (I) which at least partially activates the blood coagulation system of the sample,
 - (b) an activated exogenous Protein C (APC), or exogenous PC together with exogenous reagents (II) that transform exogenous PC to APC, and with
 - (c) components, such as phospholipid and Ca²⁺ salt, that are necessary for efficient reaction of the activated coagulation factors introduced by step (i:a), and,
 - (d) if desired, an exogenous substrate for an enzyme, which activity is influenced by activated Protein C;
- the final assay media preferably having at least a patient plasma sample content that is >10 %, in particular >20% or >35% (v/v).
- (ii) directly monitoring a substrate conversion rate for a blood coagulation enzyme which activity is influenced by activated Protein C; and
 - (iii) comparing the conversion rate determined in step (ii) with a standard value obtained from samples of normal individuals subjected to steps (i) and (ii) under identical conditions;
- wherein the finding of a sample conversion rate that is not normal compared to the standard value is taken as an indication of the human suffering from the disorder or as being at risk for acquiring the

disorder, in particular an enhanced conversion rate is taken as an indication of a thromboembolic disease or a risk for acquiring such a disease.

- 5 2. An in vitro method for the diagnosis of blood
coagulation disorders, in particular thromboembolic
diseases, in a human or for the determination of the
risk for a human to acquire blood coagulation
disorders, said disorder not being related to Protein
10 S deficiency,
comprising
- (i) incubating a sample containing human
coagulation factors with
 - 15 (a) an exogenous Reagent (I) which at least
partially activates the blood coagulation
system of the sample,
 - (b) an activated exogenous Protein C (APC),
or exogenous PC and exogenous reagents
(II) that transform exogenous PC, and
20 optionally also endogenous PC, to APC,
and with
 - (c) components, such as phospholipid and a
 Ca^{2+} salt, that are necessary for
efficient reaction of the activated
25 factors introduced by step (i:a), and,
 - (d) if desired, an exogenous substrate for an
enzyme, which activity is influenced by
activated Protein C;
- the final assay media preferably having at
30 least a patient plasma sample content that is
>10 %, in particular >20% or >35% (v/v).
- (ii) directly monitoring a substrate conversion rate
for a blood coagulation enzyme which activity is
influenced by activated Protein C; and
 - 35 (iii) comparing the conversion rate determined in step
(ii) with a standard value obtained for samples
of normal individuals subjected to steps (i) and
(ii) under identical conditions;

wherein the finding of a sample conversion rate that is not normal compared to the standard value is taken as an indication of the human suffering from the disorder or as being at risk for acquiring the disorder, in particular an enhanced conversion rate is taken as an indication of a thromboembolic disease or a risk for acquiring such a disease.

3. The method of claim 1 or claim 2, characterized in that Reagent (I) is
- (a) the components necessary to activate the blood coagulation system of the sample via the intrinsic pathway, such as an APTT reagent, a contact activator, Factor IX_a, Factor XI_a, Factor XII_a and kallikrein, and/or
 - (b) the components necessary to activate the blood coagulation system via the extrinsic pathway, e.g tissue thromboplastin,
- and the components according to (i:b-d) are added simultaneously with or, where appropriate, after Reagent (I) has been allowed to incubate with the sample for a sufficient time to activate the intrinsic respective extrinsic pathway.
4. A method according to claim 1 or claim 2, wherein Reagent (I) is exogenous Factor X_a or exogenous Factor IX_a, said incubation optionally being performed in the presence of exogenous prothrombin.
5. A method according to claim 1 or claim 2, wherein Reagent (I) is exogenous Factor X in excess of sample endogenous Factor X and in combination with exogenous Factor IX_a, possibly together with thrombin.
6. A method according to claim 3, wherein Reagent (I) is an APTT reagent.

7. A method according to any of claims 1-6, wherein the monitored substrate conversion is conversion of the endogenous fibrinogen of the sample to fibrin which is monitored via clot formation, with exogenous substrate not being present.
8. A method according to any of claims 1-7, wherein exogenous substrate is present and its conversion is monitored, if necessary together with a fibrin polymerization inhibitor.
9. A method according to claim 8, wherein the exogenous substrate is specific for Factor X_a and is present together with a fibrin polymerization inhibitor.
10. A method according to claim 8, wherein the exogenous substrate is specific for thrombin and a fibrin polymerisation inhibitor is present.
11. A method according to any of claims 1-6 and 8-10, wherein a fibrin polymerization inhibitor together with the exogenous substrate are present during the incubation and that the conversion of the exogenous substrate is monitored, said exogenous substrate being a synthetic peptide that preferably consists of less than five amino acid residues and a group that is specifically released and becomes analytically detectable upon action of the enzyme, e.g upon action of thrombin or Factor X_a , said group being bound in an amide linkage to the carboxy terminal of the peptide and being selected from chromogenic, fluorogenic and chemiluminogenic groups (= chromogenic substrate).
12. A method according to any of claims 1-11, wherein Protein C is human Protein C or non-human Protein C (such as bovine Protein C), possibly together with Protein S from the same species as Protein C.

13. A method according to any of claims 1-12, wherein at least one of the materials of (a), (b), (c) or (d) is in lyophilized form that is reconstituted in connection with the assay.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 92/00310

A. CLASSIFICATION OF SUBJECT MATTER

IPC5: C12Q 1/56, G01N 33/86

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC5: C12Q G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE, DK, FI, NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, WPIL, MEDLINE, BIOSIS, EMBASE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SE, B, 464135 (KABIVITRUM AB), 11 March 1991 (11.03.91), see especially claim 2, example 6 and page 9 lines 21-36 --	1-13
A	Thromb. Haemost, 65, Abstract 39, 658, 1991, page 658, Björn Dahlbäck et al: "Factor VIII defect associated with familial thrombophilia". --	1-13
A	WO, A1, 9102812 (MICHIGAN STATE UNIVERSITY), 7 March 1991 (07.03.91), see especially claim 1 --	1-13

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "B" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

15 February 1993

23 -02- 1993

Name and mailing address of the ISA/
Swedish Patent Office
Box 5055, S-102 42 STOCKHOLM
Facsimile No. +46 8 666 02 86

Authorized officer

Carolina Palmcrantz
Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 92/00310

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO, A1, 9101383 (MICHIGAN STATE UNIVERSITY), 7 February 1991 (07.02.91), see especially claims 1 and 4 --	1-13
A	EP, A1, 0434377 (ORTHO DIAGNOSTIC SYSTEMS), 26 June 1991 (26.06.91), see especially claims 1, 6-7 -- -----	1-13

INTERNATIONAL SEARCH REPORT
Information on patent family members

29/01/93

International application No.

PCT/SE 92/00310

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
SE-B-	464135	11/03/91	AU-A-	6053390	22/02/91
			CA-A-	2063564	15/01/91
			EP-A-	0486515	27/05/92
WO-A1-	9102812	07/03/91	US-A-	5051357	24/09/91
WO-A1-	9101383	07/02/91	US-A-	5051357	24/09/91
EP-A1-	0434377	26/06/91	US-A-	5169786	08/12/92

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.